EXHIBIT 3

Trade Date	Security Name	Total IA Business Purported Volume ²	Total Actual Daily Volume ³	Magnitude Exceeded
8/17/1979	RESERVE OIL & GAS CO PFD CONV \$1.75	20,000	30,300	Does Not Exceed
	MCI COMMUNICATIONS CORP PFD CONV \$2.64	12,800	17,500	Does Not Exceed
	AETNA LIFE & CAS CO PFD CONV \$2	27,564	No Trades	No Trades
	COOPER INDS INC PFD SER B CONV \$2.50	1,412	No Trades	No Trades
	MCI COMMUNICATIONS CORP PFD CONV \$2.64 BELCO PET CORP SUB DEB CONV 4.750 10/01/1988	6,456	13,700 10,000	Does Not Exceed 169.4x
	TENNECO CORP SUB DEB 6.250 10/01/1992	1,694,000 1,053,000	1,000	1,053.0x
	WHITE CONS INDS INC SUB DEB CONV 5.500 10/01/1992	2,083,000	114,000	18.3x
	LITTON INDS INC PART PREF CONV	847	1,700	Does Not Exceed
	LITTON INDS INC PART PREF CONV	49,797	No Trades	No Trades
	BENDIX CORP PFD SER A CONV \$3	19,484	No Trades	No Trades
10/1/1979	GENERAL HOST CORP SUB DEB CONV 11.000 6/15/1988	528,000	5,000	105.6x
	WALTER JIM CORP 4TH PFD CONV \$1.60	23,158	200	115.8x
	ETHYL CORP 2ND PFD SER A CONV \$2.40	11,160	200	55.8x
	LUCKY STORES INC SUB DEB CONV 6.750 7/15/2000	2,518,000	3,000	839.3x
	SANTA FE INDS INC SUB DEB CONV 6.250 8/01/1998	257,000	11,000	23.4x
	REYNOLDS R J INC PFD CONV \$2.25 FOREMOST MCKESSON INC PFD SER A CONV \$1.80	22,897 71,754	3,000 No Trades	7.6x No Trades
	AMERICAN TEL & TELEG CO PFD CONV \$4	41,815	2,800	14.9x
	INTERNATIONAL TEL & TELEG CORP PFD SER N CONV \$2.25	82,253	200	411.3x
	TRAVELER'S CORP PFD CONV \$2.00	63,154	100	631.5x
	WARNER COMMUNICATIONS INC PFD SER D CONV \$1.25	19,899	No Trades	No Trades
10/11/1979	AMERADA HESS CORP PFD CONV \$3.50	7,807	4,400	1.8x
10/16/1979	TENNECO CO INC PREF CONV 5.50	10,195	300	34.0x
	ATLANTIC RICHFIELD CO PFD CONV \$2.80	41,915	1,200	34.9x
	BUNKER RAMO CORP PFD CONV 1.50	25,000	500	50.0x
	CHARTER CO WT EXP 09/01/1988	16,000	52,000	Does Not Exceed
	HOUSEHOLD FIN CORP PFD CONV \$2.375	51,535	200 200	257.7x
	RELIANCE GROUP INC PFD SER B CONV \$2.20 RIO GRANDE INDS INC PFD SER A CONV \$0.80	31,801 37,000	2,500	159.0x 14.8x
	TEXTRON INC PFD CONV \$2.08	55,960	1,800	31.1x
	UAL INC PFD SER A CONV \$0.40	121,868	100	1,218.7x
	AMERICAN BRANDS INC PFD CONV \$1.70	54,384	600	90.6x
10/22/1979	INA CORP PFD SER C CONV \$1.90	21,500	30,000	Does Not Exceed
10/22/1979	MCI COMMUNICATIONS CORP PFD CONV \$2.64	38,247	12,500	3.1x
	TIME INC PFD SER B CONV \$1.5757	40,728	2,800	14.5x
	TRW INC PREF SER 1 CONV \$4.40	5,948	300	19.8x
	CHAMPION INTL CORP PREF CONV \$1.20	78,722	600	131.2x
	CITY INVESTING CO PREF SER B CONV \$2.00	32,788	5,100	6.4x
	MCI COMMUNICATIONS CORP PFD CONV \$2.64 SUN INC PFD CONV \$2.25	7,475 13,469	12,800 1,500	Does Not Exceed 9.0x
	UNITED STATES GYPSUM CO PFD CONV \$1.80	102,151	600	170.3x
	TEXAS GAS TRANSMISSION CORP PREF CONV \$1.50	60,104	No Trades	No Trades
	BENEFICIAL CORP PFD CONV \$5.50	16,103	No Trades	No Trades
	GULF & WESTIN INDS INC PFD SER C CONV \$3.875	5,626	800	7.0x
10/30/1979	ATLANTIC RICHFIELD CO PREF CONV \$3	8,785	200	43.9x
10/30/1979	RESERVE OIL & GAS CO PFD CONV \$1.75	43,347	2,300	18.8x
	REYNOLDS METALS CO 2ND PFD CONV 4.50%	31,317	100	313.2x
	WOOLWORTH F W CO PFD SER A CONV \$2.20	63,202	300	210.7x
	JHIRMACK ENTERPRISES INC WT EXP 03/31/1982	80,205	2,500	32.1x
	COASTAL STS GAS CORP PFD SER B CONV \$1.83	83,500	2,000	41.8x
	AVNET INC PFD SER C CONV 2.50 ENGELHARD MINERALS & CHEM CORP SUB DEB CONV 5.250 11/15/1997	20,670 448,000	No Trades 10,000	No Trades 44.8x
	TRW INC PREF SER 3 CONV \$4.50	11,343	No Trades	No Trades
	FLUOR CORP PFD SER B CONV \$3	7,604	No Trades	No Trades
	AVCO CORP PFD CONV \$4.20	32,200	2,700	11.9x
	COLT INDS INC PFD SER A CONV \$1.60	31,373	No Trades	No Trades
	CONTINENTAL CORP PFD SER A CONV \$2.50	12,018	100	120.2x
	DART IND INC PFD SER A CONV \$2	41,190	500	82.4x
	AMERICAN GEN INS CO PFD CONV \$0.90	65,326	100	653.3x
	BAXTER TRAVENOL LAB INC SUB DEB CONV 4.375 11/01/1991	1,635,000	No Trades	No Trades
	GOULD INC PFD CONV \$1.35	70,743	No Trades	No Trades
	STORER BROADCASTING CO SUB DEB CONV 4.500 1/01/1986	1,930,000	2,000	965.0x
11/16/19/9	CONOCO INC PFD CONV \$2	6,307	No Trades	No Trades

11/19/19/19 PERCOLEN ARL COMP IND PED SER A CONN \$3	Trade Date	Security Name	Total IA Business Purported Volume ²	Total Actual Daily Volume ³	Magnitude Exceeded
11/19/19P PETROLEUM & RESOURCES PID PID CONV \$1.75 11/21/19P DETRO PIT CORY SUB DEB CONV 4.75 (0) 1001/1990 11/21/19P CITY INVESTING CO SUB DEB CONV 4.75 (0) 1001/1990 11/21/19P WILLIAMS COS PID SER A CONV \$1.80 11/21/19P INTERNATIONAL TELA ETELEG CORP PID SER H CONV \$4 46,741 10/21/19P INTERNATIONAL TELA ETELEG CORP PID SER H CONV \$4 46,741 10/21/19P INTERNATIONAL TELA ETELEG CORP PID SER H CONV \$4 46,741 10/21/19P INTERNATIONAL TELA ETELEG CORP PID SER H CONV \$4 47,800 4		·			4.8x
1211/1979 BELCO PET CORP SUB DEB CONN 4.750 (10011/1970)			•		144.8x
1211/1979 CITY INVESTING CO SUB DEB CONY 5.290 101/1996					
1211/1979 PENZOIL CO SUB DEIS CON V \$250 101/1995 1,250.00 30.525 No. Trades No. Trades 11/12/1979 HILTON HOTELS CORP SUB DBE CONV \$5.00 101/1995 1,655.000 No. Trades No. Trades 11/12/1979 HILTON HOTELS CORP SUB DBE CONV \$5.00 101/1995 1,655.000 No. Trades No. Trades No. Trades 11/12/1979 MORSANTIO CO PED CONV \$2.75 3,971 No. Trades No. Trade 11/12/1979 MORSANTIO CO PED CONV \$2.75 7,824 No. Trade No. Trade 11/12/1980 LIDENCON NATL CORP TIDD FIDS ER A CONV \$2 7,824 No. Trade No. Trade 11/12/1981 PEDERAL PAPER RED INC PED CONV \$2.00 4,736 No. Trade No. Trade 11/12/1981 PEDERAL PAPER RED INC PED CONV \$2.00 8.80 00. Dose Not Exceed 1,824				,	
1211/1979 WILLIAMS COS PID SER A CONY S0.0 10.1995 16.500 10.51 1224/1979 INTERNATIONAL TELE. & TELEG CORP PID SER H CONY \$4 46.74 No. Trades No. Trades 11.124/1979 INTERNATIONAL TELE. & TELEG CORP PID SER H CONY \$4 46.74 No. Trades No. Tr					
11/23/1979 INLTON HOTLES CORP SUB DIER CONN \$500 L00/1995 16/50/00 No Trades No Trade					
1023/1999 INTERNATIONAL TELA TELEG CORP PFD SER H CONV S4 1023/1999 INTERNATIONAL TCORP PID FSER A CONV S3 2,304 No Trades No Trades No Trades		·			No Trades
11/11/98 LINCOLIN NATL CORP PID PED SER A CONV \$3				No Trades	No Trades
15/19/81 FEDERAL PAPER BRD INC PED CONV 120	11/23/1979 MO	NSANTO CO PFD CONV \$2.75	3,971	No Trades	No Trades
1221981 IOHNSON CITS INC PED SER B CONV \$2 22/1981 LICHARNY LUDIUM NIDS INC PED CONV \$2 21/1981 LEAR SIEGIER INC PED CONV \$2 21/1981 LEAR SIEGIER INC PED CONV \$2 14/10 No Trades 16/00 No No				No Trades	No Trades
22/1981 ALLEGHANY LUDLUM INDS INC PED CONV \$2 286 No Trades No Trades 16/60			•		No Trades
22/17/18/81 LEAR SIEGLER INC PFD CONV \$2.25 1.66 300 5.5					
2261981 REINALFIE & CASC OPFD CONV \$2					
220198 AETNA LIFE & CAS CO PED CONV \$2					
225/1981 BRINTOL MYERS CO PTD CONV \$2 225/1981 GATX CORP PED CONV \$2.50 S.02 No Trades No Trades			,		
2251981 EIRST EXECUTIVE CORP DEL IST SER PREF CONV \$0.50 8.021 No Trades No Trades No Trades					6.4x
225/1981 GATX CORP PFD CONV \$2.70		•		,	33.6x
2251981 NSTILCO CORP 2ND PED SER A \$1.25 82,577 No Trades No Trade 226(1981 ARMCO INC PED CONV \$2.10 61,667 1,300 47.4 226(1981 LTV CORPORATION PART PREF CONV SER I 101,772 2,500 40.7 226(1981 LTV CORPORATION PART PREF CONV SER I 101,772 2,500 40.7 226(1981 PETROLEUM & RESOURCES PED PED CONV \$1.75 71,412 No Trades No Trade 226(1981 PETROLEUM & RESOURCES PED PED CONV \$2.50 42,258 No Trade No Trade 227(1981 TRW INC PREF SER 3 CONV \$4.40 18,879 1,300 14.5 13.27(1981 TRW INC PREF SER 1 CONV \$4.40 18,879 1,300 14.5 13.27(1981 TRW INC PREF SER 1 CONV \$2.67 46,852 200 224.3 32/1981 GENERAL INSTR CORP PED SER A CONV \$3 47,144 100 471.4 100 471.4 32/1981 GENERAL INSTR CORP PED SER A CONV \$3 47,144 100 471.4 47.34 30 155.3 33/1981 BRUNSWICK CORP PED SER A CONV \$2.67 9,999 800 12.5 33/1981 HOLIDAY INNS INC SER A CONV \$1.70 9,999 800 12.5 33/1981 HOLIDAY INNS INC SER A CONV \$1.70 9,999 800 12.5 33/1981 GENERAL INSTR CORP DESER A CONV \$3 15,573 No Trade No Trade 33/1981 TOWNER PETE CO WITS 01/23/1986 30,888 4,900 6.3 33/1981 TOWNER PETE CO WITS 01/23/1986 30,888 4,900 6.3 33/1981 TOWNER PETE CO WITS 01/23/1986 30,888 4,900 6.3 33/1981 TOWNER PETE CO WITS 01/23/1986 30,888 4,900 6.3 33/1981 MCI COMMUNICATIONS CORP SER PED CONV \$1.80 55,479 6,000 92.5 35/1981 MCI COMMUNICATIONS CORP SER PED CONV \$1.80 68,718 31,800 2.2 33/1981 MCI COMMUNICATIONS CORP SER PED CONV \$1.80 68,718 31,800 2.2 33/1981 MCI COMMUNICATIONS CORP SER PED CONV \$1.80 59,608 No Trade 33/11/1981 AND TRADE PED CONV \$2.50 59,608 NO Trade 33/11/1981 AND TRADE PED CONV \$2.50 59,608 NO Trade 33/11/1981 AND TRADE PED CONV \$2.50 59,608 NO Trade 33/11/1981 AND TRADE PED CONV \$4.50 59,608 30,000 43.3 31/11/1981 AND TRADE PED CONV \$4.50 59,608 30,000 30,000 30,000 30,000 30,000 30,000 30,000 30,000 30,000 30,000 30,000 30,000	2/25/1981 GAT	TX CORP PFD CONV \$2.50		No Trades	No Trades
226/1981 ARMOO INC PED CONV \$2.10	2/25/1981 HEI	NZ H J CO 3RD PFD CONV \$1.70	16,057	No Trades	No Trades
226/1981 LTV CORPORATION PART PREF CONV SET 101,772 2,500 40.7	2/25/1981 INS	TILCO CORP 2ND PFD SER A \$1.25	82,577	No Trades	No Trades
226(1981 PETROLEUM & RESOURCES PED PED CONV \$1.75 24.258 No Trades No Trades 226(1981 TRW INC PREF SER 3 CONV \$4.50 24.258 No Trades 227(1981 TRW INC PREF SER 1 CONV \$4.40 18.879 1.300 14.5 227(1981 WASHINGTON NATL CORP PED CONV \$2.50 59.388 300 198.0 32(1971) 32(1971) 32(1971) 33(1971) 34(197		·	61,667		47.4x
226/1981 TRW INC PREF SER 3 CONV \$4.50 18,879 1,300 14.5 2/27/1981 WASHINGTON NATL CORP PFD CONV \$2.50 59,388 300 198.0 3/2/1981 WASHINGTON NATL CORP PFD CONV \$2.67 46,852 200 234.3 3/2/1981 GENERAL INSTR CORP PFD SER A CONV \$3.4 47,144 100 471.4 3/3/1981 BRUNSWICK CORP PFD SER A CONV \$2.40 47,846 300 159.5 3/3/1981 HOLIDAY INNS INC SER A CONV \$1.70 9,999 800 12.5 3/3/1981 HOLIDAY INNS INC SER A CONV \$1.70 9,999 800 12.5 3/3/1981 LINCOLN NATL CORP IND PFD SER A CONV \$3 15,573 No Trades No Trade 3/3/1981 INFEN AND PFD SER A CONV \$2.40 55,479 600 92.5 3/3/1981 LINCOLN NATL CORP IND PFD SER A CONV \$3 55,479 600 92.5 3/5/1981 CITY INVESTING CO PREF SER B CONV \$2.00 55,479 600 92.5 3/5/1981 MCI COMMUNICATIONS CORP SR PFD CONV \$1.80 68,718 31,800 2.2 3/5/1981 TOMILNSON OIL INC WTS EXP 03/06/1982 59,608 No Trade No Trade 3/9/1981 GRACE W R & CO SUB DEB CONV 6.500 11/15/1996 662,200 5.000 124.4 3/9/1981 GRACE W R & CO SUB DEB CONV 6.500 11/15/1996 662,200 5.000 309.0 3/9/1981 INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4 61,799 200 309.0 3/9/1981 INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4 61,799 200 309.0 3/9/1981 COLT INDS INC PFD SER A CONV \$4.25 19,995 No Trade No Trade 3/11/1981 COLT INDS INC PFD SER A CONV \$4.25 19,995 No Trade No Trade 3/11/1981 COLT INDS INC PFD SER A CONV \$4.50 15,993 19,995 No Trade No Trade 3/11/1981 CROWN ZELLERBACH CORP PFD SER A CONV \$4.625 17,087 24,200 Does Not Excee 3/11/1981 CROWN ZELLERBACH CORP PFD SER A CONV \$4.625 17,087 24,200 Does Not Excee 3/11/1981 COLT INDS INC PFD SER B CONV \$4.75 10,1999 261,000 63,000 4.1 3/11/1981 COLD FROM THE COWN SER DEB CONV \$4.75 10,1994 10,1994 10,1994 3/11/1981 COLDER BROSINC PFD CON \$2.90 17,297 16,400 1.1 3/11/1981 GOODER INDS INC PFD SER B CONV \$4.50 10,3788 No Trade No Trade					40.7x
2271/981 TRW INC PREF SER I CONV \$440 18,879 1,300 14.5 22/27/1981 WASHINGTON NATL CORP PFD CONV \$2.50 59,388 300 198.0 32/21/981 AMERICAN BRANDS INC PFD CONV \$2.67 46,852 200 224.3 32/21/981 GENERAL INSTR CORP PFD SER A CONV \$3 47,144 100 471.4 33/21/981 BRUNSWICK CORP PFD SER A CONV \$2.40 47,846 300 159.5 33/21/981 BRUNSWICK CORP PFD SER A CONV \$2.40 47,846 300 159.5 33/21/981 BRUNSWICK CORP PFD SER A CONV \$2.40 49,999 800 12.5 33/21/981 LINCOLN NATL CORP IND PFD SER A CONV \$3 15,573 No Trades No Trade 33/21/981 LOWNORN PETE CO WTS 01/23/1986 30,888 4,900 6.3 35/1981 LOWNORN PETE CO WTS 01/23/1986 30,888 4,900 6.3 35/1981 LOWNER PETE CO WTS 01/23/1986 68,718 31,800 2.2 33/5/1981 LOWNER PETE CO WTS 01/23/1986 68,718 31,800 2.2 33/5/1981 CONVENIUNATIONS CORP SER PED CONV \$2.00 55,479 600 92.5 33/5/1981 CONVENIUNATIONS CORP SER PED CONV \$2.00 68,718 31,800 2.2 33/5/1981 GOOD CORP SER PED CONV \$2.00 68,718 31,800 2.2 33/5/1981 GOOD CORP SER PED CONV \$2.00 62,000 50,000 124.4 33/91981 INTERNATIONAL TEL & TELEC CORP PFD SER H CONV \$4 61,799 200 309.00 33/10/1981 COLV TINDS INC PFD SER D CONV \$4.25 19,995 No Trades 31/10/1981 COLV TINDS INC PFD SER D CONV \$4.25 19,995 No Trades 31/10/1981 COLV TINDS INC PFD SER D CONV \$4.25 19,995 No Trades No Trade 31/11/1981 ATLANTIC RICHIFELD CO PFD CONV \$4.25 17,087 24,200 000		·			
2271/98 WASHINGTON NATL CORP PFD CONV \$2.50 59.38\$ 300 198.0 32/198 GENERAL INSTR CORP PFD SER A CONV \$3 47,144 100 471,4 3/3/198 GENERAL INSTR CORP PFD SER A CONV \$3 47,144 100 471,4 3/3/198 BRUNSWICK CORP PFD SER A CONV \$2.40 47,846 300 159.5 3/3/198 IDUIDAY INNS INS CER A CONV \$2.40 47,846 300 159.5 3/3/198 IDUIDAY INNS INS CER A CONV \$1.70 9,999 800 12,5 3/3/198 IDUIDAY INNS INS CER A CONV \$1.70 9,999 800 12,5 3/3/198 IDUIDAY INNS INS CER A CONV \$1.70 9,999 800 22,5 3/3/198 IOWER PETE CO WTS 0/23/1986 30,888 4,900 6.3 3/3/198 IOWER PETE CO WTS 0/23/1986 35,878 4,900 6.3 3/3/198 IOWER PETE CO WTS 0/23/1986 55,479 600 92,5 3/5/198 IOUTY INVESTING CO PREF SER B CONV \$2.00 55,479 600 92,5 3/5/198 IOUTY INVESTING CO PREF SER B CONV \$2.00 55,479 600 92,5 3/5/198 IOUTY INVESTING CO PREF SER B CONV \$2.00 68,718 31,800 22,2 3/5/198 IOWER PETE CO WTS EXP 03/06/1982 59,608 No Trades No Trade 3/9/198 IOWER PETE CO WTS EXP 03/06/1982 59,608 No Trade 3/9/198 IOWER PETE CO WTS EXP 03/06/1982 59,608 No Trade 3/9/198 IOWER PETE DETE CO WTS EXP 03/06/1982 53,675 100 356,86 3/9/198 IOWER PETE DETE CO WTS EXP 03/06/1982 53,675 100 356,86 3/10/198 COLT INDS INC PFD SER D CONV \$4.25 19,995 No Trades No Trade 3/11/198 ASHLAND OIL ING SUB DEB CONV \$4.750 8/15/1993 199,000 15,000 13,3 3/11/198 IOWER PETE CO WTS EXP 04/09/198 129,013 30,200 4.3 3/11/198 IOWER PETE CO WTS EXP 04/09/198 129,013 30,200 4.3 3/11/198 DILLINGHAM CORP SUB DEB CONV \$4.750 8/15/1999 261,000 63,000 4.1 3/11/198 DILLINGHAM CORP SUB DEB CONV \$4.750 8/15/1999 261,000 63,000 4.1 3/11/198 IOWER PETE CO WTS EXP 04/09/198 129,013 30,200 4.3 3/11/198 IOWER PETE CO WTS EXP 04/09/198 19,013 30,200 4.3 3/11/198 IOWER PETE CO WTS EXP 04/09/198 19,013 30,200 4.3 3/11/198 IOWER PETE CONV \$4.60 39,713 5,400 7.4 3/1			•		
32/1981 AMERICAN BRANDS INC PED CONV \$2.67 3/21981 GENERAL INSTR CORP PED SER A CONV \$3 3/21981 BRUNSWICK CORP PED SER A CONV \$2.40 47,846 300 159.5 3/3/1981 BRUNSWICK CORP PED SER A CONV \$2.40 47,846 300 159.5 3/3/1981 HOLIDAY INNS INC SER A CONV \$1.70 9,999 800 12.5 3/3/1981 LINCOLN NATL CORP IND PED SER A CONV \$3 15,573 NO Trades NO Trades 3/3/1981 TOWNER PETE CO WTS 01/23/1986 30,888 4,900 6.3 3/5/1981 COWNER PETE CO WTS 01/23/1986 30,888 4,900 6.3 3/5/1981 TOWNER PETE CO WTS 01/23/1986 30,57,479 600 92.5 3/5/1981 TOWNER PETE CO WTS 01/23/1986 62,200 3/5/1981 MC COMMUNICATIONS CORP SR PED CONV \$1.80 3/5/1981 TOMLINSON OIL INC WTS EXP 03/06/1982 59,608 NO Trades 3/9/1981 GRACE W R & CO SUB DEB CONV 6.500 11/15/1996 3/9/1981 JEWEL COS PED SER A CV \$2.31 3/9/1981 INTERNATIONAL TEL & TELEG CORP PED SER H CONV \$4 61,799 200 309/1981 JEWEL COS PED SER A CV \$2.31 3/11/1981 ASHLAND OIL, INC SUB DEB CONV \$4.25 13/11/1981 DILLINGHAM CORP SUB DEB CONV \$4.75 13/11/1981 PATRICK PETE CO WTS EXP 04/09/1981 3/11/1981 PATRICK PETE CO WTS EXP 04/09/1981 3/11/1981 ROUND SINC PED SER A CONV \$4.75 13/11/1981 ROUND SINC PED SER A CONV \$4.75 13/11/1981 ROUND SINC PED SER A CONV \$4.75 13/11/1981 COORD PED SER CONV \$1.90 103,758 NO Trades 3/11/1981 ON TRADES					
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3/24/1981 BRUNSWICK CORP PFD SER A CONV \$2.40 36,190 800 45.2 3/24/1981 MCI COMMUNICATIONS CORP SR PFD CONV \$1.80 41,717 36,600 1.1 3/24/1981 WESTERN UNION CORP 2ND PFD CONV 4.90% 29,400 100 294.0					158.4x
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3/24/1981 WESTERN UNION CORP 2ND PFD CONV 4.90% 29,400 100 294.0			·		
11,000 500 157.0			·		137.0x
3/25/1981 MONSANTO CO PFD CONV \$2.75 22,657 No Trades No Trade		·			No Trades

Trade Date	Security Name	Total IA Business Purported Volume ²	Total Actual Daily Volume ³	Magnitude Exceeded
	LTV CORPORATION PART PREF CONV SER 1	40,025	No Trades	No Trades
	AMERADA HESS CORP PFD CONV \$3.50	4,388	No Trades	No Trades
	HEINZ H J CO 3RD PFD CONV \$1.70	5,092	No Trades	No Trades
	MONSANTO CO PFD CONV \$2.75	1,301	No Trades	No Trades
	WALTER JIM CORP 4TH PFD CONV \$1.60 FMC CORP PFD CONV \$2.25	6,814 12,284	No Trades 1,800	No Trades
	TEXTRON INC PFD CONV \$2.08	22,551	6,800	6.8x 3.3x
	HOLIDAY INNS INC SUB DEB CONV 9.625 04/01/2005	161,000	6,000	26.8x
	RCA CORP 1ST PFD CONV \$4	22,602	2,700	8.4x
	INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4	7,147	No Trades	No Trades
12/10/1981	EATON CORP PFD CONV A \$2.30	6,287	No Trades	No Trades
12/11/1981	ARMCO INC PFD CONV \$2.10	4,055	200	20.3x
12/14/1981	UNITED TECHONLOGIES CORP PFD CONV \$3.875	6,742	1,700	4.0x
	WOOLWORTH F W CO PFD SER A CONV \$2.20	14,494	No Trades	No Trades
	FOREMOST MCKESSON INC PFD SER A CONV \$1.80	11,825	300	39.4x
	MC DERMOTT INC PFD SER A CONV \$2.20	8,936	5,100	1.8x
	WESTERN UNION CORP PFD CONV 4.60% AUGAT INC SUB DEB CONV 8.250 9/15/2005	12,717 3,348,000	400 5,000	31.8x 669.6x
	CELANESE CORP SUB DEB CONV 9.750 6/15/2006	4,965,000	5,000 25,000	198.6x
	AMERICAN BROADCASTING COS INC WT EXP 01/02/0982	12,800	1,400	9.1x
	BALDWIN UTD CORP PFD CL U CONC \$2.06	13,266	100	132.7x
	BRISTOL MYERS CO PFD CONV \$2	10,052	1,000	10.1x
	ETHYL CORP 2ND PFD SER A CONV \$2.40	1,876	No Trades	No Trades
	TESORO PETE CORP SUB DEB CONV 5.250 2/01/1989	378,000	No Trades	No Trades
2/23/1982	BENDIX CORP PFD SER B CONV 9 3/4%	9,220	2,000	4.6x
2/23/1982	CHRIS CRAFT IND INC PFD \$1.40	5,194	No Trades	No Trades
	FOREMOST MCKESSON INC PFD SER A CONV \$1.80	10,758	No Trades	No Trades
	TEXTRON INC PFD CONV \$2.08	12,340	3,100	4.0x
	WOOLWORTH F W CO PFD SER A CONV \$2.20	22,099	100	221.0x
	ARCATA CORP PFD SER C CONV \$2	13,114	800 900	16.4x
	BRISTOL MYERS CO PFD CONV \$2 WESTERN UNION CORP PFD CONV 4.60%	7,826 4,041	400	8.7x 10.1x
	FEDERAL PAPER BRD INC PFD CONV 1.20	13,388	100	133.9x
	UNITED TECHNOLOGIES CORP PFD CONV \$8	5,140	No Trades	No Trades
	CITY INVESTING CO PREF SER B CONV \$2.00	11,011	No Trades	No Trades
3/9/1982	CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50	17,915	100	179.2x
3/11/1982	ARCATA CORP PFD SER A CONV \$2.16	1,820	No Trades	No Trades
3/11/1982	ETHYL CORP 2ND PFD SER A CONV \$2.40	5,234	100	52.3x
	OCCIDENTAL PETE CORP PFD CONV \$3.60	3,921	200	19.6x
	TIME INC PFD SER B CONV \$1.575	11,420	16,700	Does Not Exceed
	BENDIX CORP PFD SER A CONV \$3	613	No Trades	No Trades
	EATON CORP PFD CONV 4.75% LEAR SIEGLER INC PFD CONV \$2.25	9,533	No Trades	No Trades
	TRW INC PREF SER 3 CONV \$4.50	2,585 11,110	No Trades 100	No Trades 111.1x
	ROCKWELL INTL CORP PFD SER B CONV \$1.35	7,572	400	18.9x
	TRW INC PREF1 CONV \$4.40	2,906	No Trades	No Trades
	CONCEPT INC WTS EXP 07/29/83	7,400	4,200	1.8x
12/6/1982	AMERICAN BRANDS INC PFD CONV \$1.70	7,187	No Trades	No Trades
12/6/1982	TIME INC PFD SER B CONV \$1.575	7,472	500	14.9x
12/15/1982	EMHART CORP VA PFD CONV \$2.10	5,019	No Trades	No Trades
	JAMES RIVER CORP OF VA SER G \$5.40 CUM CO PFD	3,014	18,400	Does Not Exceed
	INTERCO INC PFD SER D CONV \$7.75	6,349	4,100	1.5x
	AMERICAN TEL & TELEG CO PFD CONV \$4	10,804	1,400	7.7x
	NEWMONT MNG CORP PFD SER A CONV \$4.50	1,313	No Trades	No Trades
	DIGITAL SWITCH CORP WT EXP 07/29/1984 TIME INC PFD SER B CONV \$1.575	108,676 67,678	3,700	29.4x 225.6x
	MERRILL LYNCH & CO INC SUB DEB CONV 9.250 12/15/2005	1,386,000	9,000	223.6x 154.0x
	ANACOMP INC SUB DEB CONV 9.500 9/01/2000	651,000	46,000	14.2x
	ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	7,506	No Trades	No Trades
	ROCKWELL INTL CORP PFD SER B CONV \$1.35	8,426	100	84.3x
2/28/1983	SHELLER GLOBE CORP PFD CONV \$1.35	41,751	5,900	7.1x
	SUN INC PFD CONV \$2.25	13,091	400	32.7x
	UNION PACIFIC CORP PFD SER A CONV \$7.25	968	25,300	Does Not Exceed
3/2/1983	AMERICAN BRANDS INC PFD CONV \$1.70	61,050	No Trades	No Trades

Trade Date	Security Name	Total IA Business Purported Volume ²	Total Actual Daily Volume ³	Magnitude Exceeded
3/2/1983	GULF & WESTN INDS INC PFD SER C CONV \$3.875	8,059	200	40.3x
	MONSANTO CO PFD CONV \$2.75	9,280	No Trades	No Trades
	FOREMOST MCKESSON INC PFD SER A CONV \$1.80	13,085	No Trades	No Trades
	FOUR PHASE SYS INC SUB DEB CONV 9.125 6/15/2001 ATLANTIC RICHFIELD CO PFD CONV \$3	1,441,000 12,631	No Trades No Trades	No Trades No Trades
	HOUSEHOLD INTL INC PFD CONV \$2.375	31,080	No Trades	No Trades
	OGDEN CORP PFD CONV \$1.875	61,513	No Trades	No Trades
3/11/1983	FMC CORP PFD CONV \$2.25	55,488	No Trades	No Trades
	WASHINGTON NATL CORP PFD CONV \$2.50	76,713	200	383.6x
	GREYHOUND CORP SUB DEB CONV 6.500 1/15/1990	1,715,000	4,000	428.8x
	HORN & HARDART CO SUB DEB CONV 11.250 10/15/2000 JOHNSON CTLS INC PFD SER B CONV \$2	3,630,000 55,442	35,000 No Trades	103.7x No Trades
	ROHR INDS INC PFD SER B CONV 3.25	52,285	102,500	Does Not Exceed
	GULF & WESTN INDS INC PFD SER D CONV 2.50	38,757	520,000	Does Not Exceed
	TRANS WORLD AIRLS INC SUB DEB CONV 12.000 1/01/2005	4,031,000	No Trades	No Trades
3/24/1983	UNION PACIFIC CORP PFD SER A CONV \$7.25	2,469	40,600	Does Not Exceed
	HOUSEHOLD INTL INC PFD CONV \$2.375	1,309	No Trades	No Trades
	ETHYL CORP 2ND PFD SER A CONV \$2.40	537	No Trades	No Trades
	AMERADA HESS CORP PFD CONV \$3.50	26,892	No Trades	No Trades
	AMERADA HESS CORP PFD CONV \$3.50 INTERNATIONAL HARVESTER CO PREF SER A CONV \$3	541 70,497	100 5,300	5.4x 13.3x
	INTERNATIONAL HARVESTER CO FREE SER A CONV \$5 INTERCO INC PFD SER D CONV \$7.75	43,189	No Trades	No Trades
	SHELLER GLOBE CORP \$ 3 CV PFD	32,039	No Trades	No Trades
	TOTAL PETE NORTH AMER LTD WTS EXP 6/30/86	26,891	5,500	4.9x
9/9/1983	SOUTHMARK CORP PFD SER A CONV \$1	361,137	31,000	11.6x
	PENNWALT CORP PREF CONV \$2.50	100,987	No Trades	No Trades
	ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	25,360	No Trades	No Trades
	WASHINGTON NATL CORP PFD CONV \$2.50	44,410	2,700	16.4x
	UNITED TECHNOLOGIES CORP PFD CONV \$3.875 BRISTOL MYERS CO PFD CONV \$2	21,425 77,264	100 No Trades	214.3x No Trades
	ATLANTIC RICHFIELD CO PFD CONV \$ 2.80	50,141	700	71.6x
	HOUSEHOLD INTL INC PFD CONV \$2.50	39,063	1,900	20.6x
	CHAMPION INTL CORP PREF CONV \$1.20	225,400	1,100	204.9x
11/29/1983	TRW INC PREF SER 1 CONV \$4.40	39,157	100	391.6x
	BRISTOL MYERS CO PFD CONV \$2	26,666	No Trades	No Trades
	HOUSEHOLD INTL INC PFD CONV \$2.50	35,982	1,100	32.7x
	AMERADA HESS CORP PFD CONV \$3.50	41,897	500	83.8x
	AVCO CORP PFD CONV \$3.20 MONSANTO CO PFD CONV \$2.75	26,291 35,762	9,100 No Trades	2.9x No Trades
	ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	4,312	1,300	3.3x
	DIGITAL SWITCH CORP WT EXP 07/29/1984	8,556	100	85.6x
	ETHYL CORP 2ND PFD SER A CONV \$2.40	48,472	No Trades	No Trades
12/22/1983	FMC CORP PFD CONV \$2.25	122,107	No Trades	No Trades
	BRISTOL MYERS CO PFD CONV \$2	81,246	No Trades	No Trades
	ROCKWELL INTL CORP PFD SER A CONV \$4.75	9,217	No Trades	No Trades
	ETHYL CORP 2ND PFD SER A CONV \$2.40 UNITED STATES GYPSUM CO PFD CONV \$1.80	24,788 54,899	No Trades No Trades	No Trades No Trades
	VIACOM INTL INC PFD CONV \$2.10	141,223	10,000	14.1x
	ENSTAR CORP DEL PFD SER A CONV	10,696	2,100	5.1x
	AMERADA HESS CORP PFD CONV \$3.50	6,601	100	66.0x
3/5/1984	OCCIDENTAL PETE CORP PFD CONV \$4	55,883	No Trades	No Trades
	ROCKWELL INTL CORP PFD SER A CONV \$4.75	4,786	No Trades	No Trades
	ROCKWELL INTL CORP PFD SER B CONV \$1.35	32,066	100	320.7x
	ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	55,214	3,000	18.4x
	FMC CORP PFD CONV \$2.25 BELL & HOWELL CO PFD SER A CONV	50,826 133,238	200 20,200	254.1x 6.6x
	WACHOVIA CORP PFD SER A CONV \$2.20	27,697	No Trades	No Trades
	LEAR SIEGLER INC PFD CONV \$2.25	23,112	No Trades	No Trades
	BEATRICE FOODS CO PREF SER A CONV \$3.38	39,966	1,000	40.0x
	SUN INC PFD CONV \$2.25	10,112	900	11.2x
	INTERCO INC PFD SER D CONV \$7.75	31,392	100	313.9x
	HOUSEHOLD INTL INC PFD CONV \$2.375	60,879	No Trades	No Trades
	WAL-MART STORES INC PFG SER A CONV 8%	11,110	No Trades	No Trades
//11/1984	INTERNATIONAL HARVESTER CO PREF SER A CONV \$3	4,794	No Trades	No Trades

Trade Date	Security Name	Total IA Business Purported Volume ²	Total Actual Daily Volume ³	Magnitude Exceeded
	PITNEY BOWES INC PREF CONV \$2.12	3,500	2,300	1.5x
	KIDDE INC PREF SER C CONV \$4	410	100	4.1x
	WAL-MART STORES INC PFD SER A CONV 8%	23,023	No Trades	No Trades
	WACHOVIA CORP PFD SER A CONV \$2.20 WASHINGTON NATL CORP PFD CONV \$2.50	41,435 83,749	No Trades No Trades	No Trades No Trades
	ROCKWELL INTL CORP PFD SER B CONV \$1.35	33,561	600	55.9x
	SHELLER GLOBE CORP \$ 3 CV PFD	68,874	No Trades	No Trades
	ROCKWELL INTL CORP PFD SER B CONV \$1.35	167	No Trades	No Trades
	TRW INC PREF SER 1 CONV \$4.40	5,088	No Trades	No Trades
	HOUSEHOLD INTL INC PFD CONV \$2.50	66,259	No Trades	No Trades
	OWENS ILL INC PFD CONV \$4.75 BRISTOL MYERS CO PFD CONV \$2	32,472	No Trades No Trades	No Trades No Trades
	HOUSEHOLD INTL INC PFD CONV \$2.50	112,102 10,774	100	107.7x
	INTERCO INC PFD SER D CONV \$7.75	43,694	400	107.7x 109.2x
	SHELLER GLOBE CORP \$3 CV PFD	1,387	No Trades	No Trades
	HOUSEHOLD INTL INC PFD CONV \$2.375	47,079	200	235.4x
11/30/1984	AVCO CORP PFD CONV \$3.20	45,114	5,100	8.8x
11/30/1984	BRISTOL MYERS CO PFD CONV \$2	25,707	No Trades	No Trades
	OWENS ILL INC PREF CONV \$4.75	32,164	No Trades	No Trades
	BEATRICE FOODS CO PREF SER A CONV \$3.38	60,381	800	75.5x
	BELL & HOWELL CO PFD SER A CONV	148,826	19,300	7.7x
	INTERCO INC PFD SER D CONV \$7.75	16,501	No Trades	No Trades
	TRW INC PREF SER 3 CONV \$4.50 UNITED STATES GYPSUM CO PFD CONV \$1.80	55,396 61,335	No Trades 1,000	No Trades 61.3x
	ATLANTIC RICHFIELD CO PFD CONV \$1.80	53,764	400	134.4x
	INTL HARVESTER CO PREF SER A CONV \$3	64,258	2,900	22.2x
	BELL & HOWELL CO PFD SER A CONV	48,724	6,400	7.6x
	I C INDS INC 2ND PFD SER 1 CONV \$3.50	48,867	4,000	12.2x
3/5/1985	USLIFE CORP PFD SER D CONV \$2.25	217,369	24,800	8.8x
3/7/1985	INTL HARVESTER CO PREF SER A CONV \$3	167,088	400	417.7x
	PIEDMONT AVIATION INC SUB DEB CONV 11.000 7/01/2007	4,426,000	465,000	9.5x
	MARTIN MARIETTA CORP PFD CONV EXCHANGEABLE \$4.875	209,998	18,400	11.4x
	ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	50,364	100	503.6x
	KATY INDS INC PFD SER B CONV \$1.46 STORER COMMUNICATIONS INC SUB DEB CONV 8.500 12/31/2005	32,736 3,958,000	700 268,000	46.8x 14.8x
	LEAR SIEGLER INC PFD CONV \$2.25	40,566	300	135.2x
	BOEING CO SUB DEB CONV 8.875 6/15/2006	6,548,000	91,000	72.0x
	CLUETT PEABODY & CO INC PFD CONV \$1	4,597	2,900	1.6x
	CLUETT PEABODY & CO INC PFD CONV \$1	400,459	400	1,001.1x
6/27/1985	FMC CORP PFD CONV \$2.25	87,202	500	174.4x
	OWENS ILL INC PFD CONV \$4.75	31,549	700	45.1x
	FMC CORP PFD CONV \$2.25	412	200	2.1x
	BELL & HOWELL CO PFD SER A CONV	7,013	No Trades	No Trades
	INTERCO INC PFD SER D CONV \$7.75 BRISTOL MYERS CO PFD CONV \$2	27,602	No Trades No Trades	No Trades No Trades
	BRISTOL MYERS CO PFD CONV \$2	51,509	100	515.1x
	INTERNATIONAL HARVESTER CO JR PREF SER D CONV \$0	156,222	10,400	15.0x
	RESEARCH COTTRELL INC SUB DEB CONV 10.500 10/31/2006	4,414,000	1,000	4,414.0x
7/10/1985	UNITED STATES GYPSUM CO PFD CONV \$1.80	20,224	No Trades	No Trades
7/11/1985	SOUTHWEST AIRLS CO SUB DEB CONV 10.000 6/15/2007	2,487,000	12,000	207.3x
7/15/1985	SUN INC PFD CONV \$2.25	75,298	100	753.0x
	TRW INC PREF SER 1 CONV \$4.40	43,189	No Trades	No Trades
	INTERNATIONAL HARVESTER CO PREF SER A CONV \$3	167,949	3,300	50.9x
	LAIDLAW INDS INC PFD SER A CONV \$2.03	274,173	1,500	182.8x
	TEXTRON INC SUB DEB 7.750 6/15/2005	6,809,000	7,000	972.7x
	BRISTOL MYERS CO PFD CONV \$2 TRW INC PREF SER 3 CONV \$4.50	53,618 13,730	No Trades No Trades	No Trades No Trades
	HARTMARX CORP SUB DEB CONV 8.500 1/15/2008	7,823,000	10,000	782.3x
	AMERICAN EXPRESS COMPANY WTS EXP 02/28/1987	307,269	147,300	2.1x
	FORUM GROUP INC WTS EXP 12/31/1985	185,301	66,300	2.8x
	FMC CORP PFD CONV \$2.25	134,113	200	670.6x
12/13/1985	AMERICAN GEN CORP PFD 2.64 CV	109,197	76,000	1.4x
	ZENITH NATL INS CRP WTS EXP 10/15/1988	104,927	8,800	11.9x
12/16/1985	ERC INTERNATIONAL INC WTS 12/31/1985	928,403	2,000	464.2x

Trade Date	Security Name	Total IA Business Purported Volume ²	Total Actual Daily Volume ³	Magnitude Exceeded
12/17/1985	HOUSEHOLD INTL INC PFD CONV \$2.50	206,687	No Trades	No Trades
	CSX CORP PFD A \$7.00	8,493	No Trades	No Trades
	AMERICAN DETECTION OF PFD 2.64 CV	163,823	37,400	4.4x
	AMERICAN INTL GROUP INC PRD CL B CONV \$5.85 OWENS ILL INC PREF CONV \$4.75	7,223 2,665	No Trades	72.2x No Trades
	ATLANTIC RICHFIELD CO PFD CONV \$2.80	60,351	200	301.8x
	CHAMPION INTL CORP PREF CONV \$1.20	720,795	1,000	720.8x
3/11/1986	SUN INC PFD CONV \$2.25	31,786	500	63.6x
	HOUSEHOLD INTL INC PFD CONV \$2.375	24,997	No Trades	No Trades
	DIGITAL EQUIPMENT CORP CONV SUB DEB 8.000 9/01/2009	8,545,000	36,000	237.4x
	AMERICAN GEN CORP DEB CONV 11.00 2/8/2007	6,838,000	191,000	35.8x
	AMERICAN GEN CORP DEB CONV 11.00 2/8/2007 WOOLWORTH F W CO PFD SER A CONV \$2.20	1,253,000	35,000 No Trades	35.8x No Trades
	CSX CORP PFD A \$7.00	1,498	No Trades	No Trades
	PAINE WEBBER INC PFD SER A CONV \$1.30	3,361	No Trades	No Trades
	UNITED STATES GYPSUM CO PFD CONV \$1.80	1,384	No Trades	No Trades
11/18/1986	CSX CORP PFD A \$7.00	16,549	No Trades	No Trades
	UNITED STATES GYPSUM CO PFD CONV \$1.80	75,607	No Trades	No Trades
	WOOLWORTH F W CO PFD SER A CONV \$2.20	52,942	300	176.5x
	BRISTOL MYERS CO PFD CONV \$2	19,567	No Trades	No Trades
	CSX CORP PFD A \$7.00	4,786 4,540	No Trades	No Trades No Trades
	ROCKWELL INTL CORP PFD SER A CONV \$4.75 SUN INC PFD CONV \$2.25	11,142	No Trades No Trades	No Trades
	WALTER JIM CORP 4TH PFD CONV \$1.60	36,836	No Trades	No Trades
	WOOLWORTH F W CO PFD SER A CONV \$2.20	4,837	500	9.7x
	EATON CORP PFD SER B CONV \$10	9,574	No Trades	No Trades
	INTERCO INC PFD SER D CONV \$7.75	18,220	No Trades	No Trades
12/15/1986	BELL & HOWELL CO PFD SER A CONV	187,279	1,200	156.1x
	CHEMICAL NEW YORK CORP PFD CONV \$1.875	188,617	200	943.1x
	OWENS ILL INC PREF CONV \$4.75	48,452	100	484.5x
	OLIN CORP SUB DEB CONV 8.750 6/01/2008	14,925,000	No Trades	No Trades
	AMERICAN GEN CORP DEB CONV 11.00 2/8/2007 FIRST PA CORP DEPOSITARY PFD SER C CONV	7,069,000	No Trades 42,800	No Trades Does Not Exceed
	KAUFMAN & BROAD CONV PFD B \$8.75	13,333	30,400	Does Not Exceed
	U S AIR GROUP INC SR SUB DEB CONV 8.750 9/15/2009	4,489,000	213,000	21.1x
3/9/1987	PIEDMONT AVIATION INC PFD CONV EXCHANGEABLE \$3.25	211,945	86,500	2.5x
3/12/1987	GENCORP INC WT EXP 3/15/88	404,960	39,900	10.1x
	ANHEUSER BUSCH COS INC PFD CONV \$3.60 SER A	53,425	2,300	23.2x
	STONE CONTAINER CORP PFD SER C CONV EXB \$3.50	160,173	77,200	2.1x
	TRANSCO COMPANIES INC CUM CONV PFD 3.875 SERIES GENCORP INC WT EXP 3/15/88	444,328	7,100	62.6x Does Not Exceed
	MONARCH CAP CORP \$3 CONV PFD	1,300 315,409	2,400 6,300	50.1x
	BRISTOL MYERS CO PFD CONV \$2	115,676	300	385.6x
	EATON CORP PFD SER B CONV \$10	63,869	No Trades	No Trades
5/15/1987	MACMILLAN INC SUB DEB CONV 7.500 7/01/2010	7,844,000	10,000	784.4x
5/19/1987	NORTH AMERN VENTURES INC WT EXP 5/9/1991	153,719	6,000	25.6x
	ANHEUSER BUSCH COS INC PFD CONV \$3.60 SER A	40,013	40,200	Does Not Exceed
	UNISYS CORP SUB DEB CONV 7.250 8/01/2010	16,205,000	40,000	405.1x
	BELL & HOWELL CO PFD SER A CONV	24,183	300	80.6x
	INTERCO INC PFD SER D CONV \$7.75 FIDELCOR INC \$3.25 CV SR A PFD	51,184 60,206	No Trades No Trades	No Trades No Trades
	SUN INC PFD CONV \$2.25	56,385	No Trades	No Trades
	WOOLWORTH F W CO PFD SER A CONV \$2.20	7,078	400	17.7x
	INTERCO INC PFD SER D CONV \$7.75	119,663	No Trades	No Trades
	PETRIE STORES CORP SUB DEB EXCHANGABLE 8.000 1/15/2010	6,585,000	5,000	1,317.0x
	SUN INC PFD CONV \$2.25	58,930	No Trades	No Trades
	KELLWOOD CO DEB CONV 9.000 10/15/1999	910,000	No Trades	No Trades
	INTEL CORP WTS EXP 8/15/1988	327,642	No Trades	No Trades
	INTEL CORP WTS EXP 8/15/1988	135,426	No Trades	No Trades
	CASTLE & COOKE INC PFD CONV \$0.90 CASTLE & COOKE INC PFD CONV \$0.90	1,392,657 50,451	5,600 13,400	248.7x 3.8x
	PENTAIR INC SUB DEB CONV 9.000 3/15/2010	181,000	271,000	Does Not Exceed
	FLEET/NORSTAR FINL GROUP INC SUB DEB CONV 8.500 4/01/2010	17,831,000	40,000	445.8x
	MCKESSON CORP SUB DEB CONV 9.750 3/15/2006	1,401,000	No Trades	No Trades
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Trade Date	Security Name	Total IA Business Purported Volume ²	Total Actual Daily Volume ³	Magnitude Exceeded
2/27/1989	TEXAS EASTN CORP SUB DEB CONV 12.000 10/15/2009	27,932,000	175,000	159.6x
3/7/1989	POTLATCH CORP PFD SER B CONV EX \$3.75	119,355	No Trades	No Trades
3/10/1989	PHELPS DODGE CORP PFD CV EXP DEP \$3.00	31,806	5,500	5.8x
3/17/1989	WARNER COMMUNICATIONS INC PFD SER A CONV EXCH \$3.625	104,019	87,600	1.2x
3/29/1989	WARNER COMMUNICATIONS INC PED SER A CONV EXCH \$3.625	11,805	109,900	Does Not Exceed
5/17/1989	HANNA M A CO PFD CONV EXCHANGEABLE \$ 2.125	32,244	21,600	1.5x
6/5/1989	VISTA CHEM CO RT	260,428	141,600	1.8x
6/12/1989	VISTA CHEM CO RT	65,479	66,000	Does Not Exceed
6/16/1989	COLUMBIA PICTS ENTMT WTS EXP 06/01/1992	258,848	No Trades	No Trades
6/19/1989	COLUMBIA PICTS ENTMT WTS EXP 06/01/1992	140,027	No Trades	No Trades
6/20/1989	COLUMBIA PICTS ENTMT WTS EXP 06/01/1992	271,095	No Trades	No Trades
11/10/1989	CONSECO INC PFD A 1.875	18,990	7,100	2.7x
11/20/1989	AMERICAN BRANDS INC PFD CONV \$2.67	2,350	No Trades	No Trades
11/28/1989	WESTINGHOUSE ELECTRIC CORP SUB DEB CONV 9.000 8/15/2009	25,256,000	5,000	5,051.2x
	FLEET/NORSTAR FINL GROUP INC SUB DEB CONV 8.500 4/01/2010	34,415,000	No Trades	No Trades
12/12/1989	APACHE CORP SUB DEB CONV 7.500 4/01/2012	23,574,000	27,000	873.1x
12/12/1989	ATLANTIC RICHFIELD CO PFD CONV \$3	34,279	No Trades	No Trades
12/13/1989	AIRBORNE FGHT CORP SUB DEB CONV 7.500 6/15/2011	12,901,000	No Trades	No Trades
	CONSOLIDATED EDISON CO N Y INC PREF SER B CONV 6%	36,755	No Trades	No Trades
	ETHYL CORP 2ND PFD SER A CONV \$2.40	22,249	No Trades	No Trades
	MCKESSON CORP SUB DEB CONV 9.750 3/15/2006	20,000	No Trades	No Trades
2/20/1990	INTERNATIONAL MINERALS & CHEM SERIES B CONV EXCL PFD \$3.25	21,654	No Trades	No Trades
	MCDERMOTT INC DEB 10.000 4/01/2003	37,307,000	No Trades	No Trades
	MCDERMOTT INTL INC WT EXP 04/01/1990	1,492,280	403,200	3.7x
	MCDERMOTT INTL INC WT EXP 04/01/1990	919,480	116,000	7.9x
	MCDERMOTT INC DEB 10.000 4/01/2003	22,987,000	No Trades	No Trades
	ITT CORP PFD SER N CONV \$2.25	112,929	No Trades	No Trades
	PFIZER INC SUB DEB CONV 8.750 2/15/2006	1,584,000	No Trades	No Trades
	ATLANTIC RICHFIELD CO PFD CONV \$ 2.80	46,040	600	76.7x
	ATLANTIC RICHFIELD CO PFD CONV \$ 2.80	63,097	No Trades	No Trades
	TEXTRON INC PFD CONV \$2.08	12,631	No Trades	No Trades
	TEXTRON INC PFD CONV DIV STK SER B \$1.40	43.148	No Trades	No Trades
	TEXTRON INC PFD CONV \$2.08	40,027	No Trades	No Trades
	ATLANTIC RICHFIELD CO PFD CONV \$2.80	79,563	100	795.6x
	FEDERAL NATIONAL MTG ASSN WT EXP 2/25/91	154,562	1,046,900	Does Not Exceed
	HOME DEPOT INC SUB DEB CONV 6.750 5/15/2014	2,000	7,000	Does Not Exceed
	BIOGEN INC PFD CONV EXCH \$2.125	56,114	13,100	4.3x
	BIOGEN INC PFD CONV EXCH \$2.125	549,656	17,600	31.2x
	ATLANTIC RICHFIELD CO PFD CONV \$2.80	79	No Trades	No Trades
	WMS INDS INC SUB DEB CONV 12.750 11/01/1996	85.000	10,000	8.5x
	LEGGETT & PLATT INC SUB DEB CONV 6.500 4/01/2006	17,967,000	No Trades	No Trades
	LEGGETT & PLATT INC SUB DEB CONV 6.500 4/01/2006	4,340,000	No Trades	No Trades
	TYCO TOYS INC WTS EXP 6/07/1993	772,528	50,400	15.3x
	LEGGETT & PLATT INC SUB DEB CONV 6.500 4/01/2006	10,651,000	8,000	1,331.4x
	PEP BOYS MANNY MOE & JACK SUB DEB CV 6.000 11/01/2011	61,451,000	22,000	2,793.2x
	XTRA CORP PFD SER B CONV \$1.9375	1,326,478	6,600	2,793.2x 201.0x
	AMERICAN BRANDS INC PFD CONV \$2.67	364,367	No Trades	No Trades
	WASHINGTON MUTL SVS BK SEATLLE PFD CONV SER A. \$	364,367 428,694	77,300	No Trades 5.5x

^{1.} The Monthly Time Period consists of the following months: October 1979, November 1979, every March and December from 1981-1992, and randomly selected months, other than March and December, between 1983 and 1992.

^{2.} Total IA Business Purported Volume as shown on the IA Business customer ledgers.

^{3.} Total Actual Daily Volume as reported by the New York Stock Exchange Daily Stock Records, Over the Counter Exchange Daily Stock Records, American Stock Exchange Daily Stock Record, Wall Street Journal New York Exchange Bonds, Moody's Industrial Manuals and Moody's Bank and Financial Manual.